

# An Approximate Nerve Theorem

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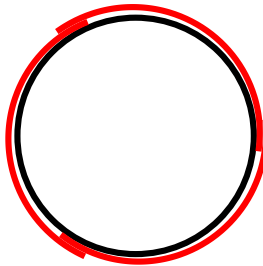
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19<sup>th</sup> Geometrical Seminar, Zlatibor, 2016

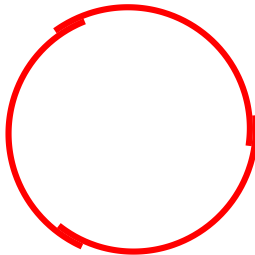
# Outline

- 1 Motivation
  - The Nerve Theorem
  - Persistent Homology
  - Mayer-Vietoris Spectral Sequence
  
- 2 Main Results
  - Results

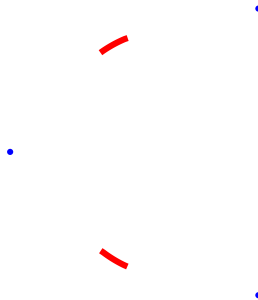
# Nerve



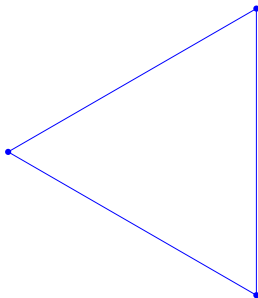
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# Nerve Theorem

## Theorem (Borsuk, 1948)

*If  $\mathcal{V}$  is an open cover of a paracompact space  $Y$  such that every nonempty intersection of finitely many sets in  $\mathcal{V}$  is contractible, then  $Y$  is homotopy equivalent to the nerve  $\mathcal{N}(\mathcal{V})$ .*

# Homological Nerve Theorem

## Theorem (Leray, 1945)

*If  $\mathcal{U}$  is a cover by subcomplexes of a simplicial complex  $X$  such that every nonempty intersection of finitely many sets in  $\mathcal{U}$  is acyclic, then*

$$H_*(X) \cong H_*(\mathcal{N}(\mathcal{U})),$$

*where  $\mathcal{N}(\mathcal{U})$  is the nerve of  $\mathcal{U}$ .*



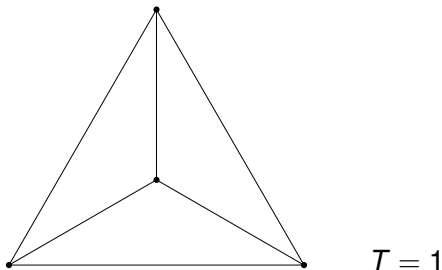
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Persistent homology:

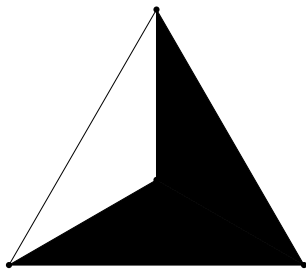
$$H_0(X) : \quad \dots \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \dots$$

$$H_1(X) : \quad \dots \rightarrow \mathbb{k} \rightarrow \mathbb{k}^3 \rightarrow \mathbb{k}^2 \rightarrow \mathbb{k} \rightarrow \dots$$



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$$T \geq 3$$

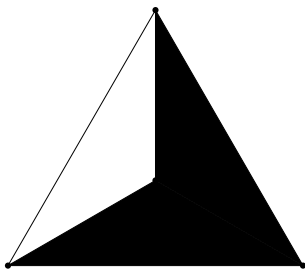
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Persistent homology:

$$H_0(X) = \mathbb{k}[t]$$

$$H_1(X) = \mathbb{k}[t] \oplus \frac{t\mathbb{k}[t]}{t^3\mathbb{k}[t]} \oplus \frac{t\mathbb{k}[t]}{t^2\mathbb{k}[t]}$$

# Persistence Module

Persistent homology is a functor  $V : (\mathbb{Z}, \leq) \rightarrow \mathbf{Vect}$

$$H_0(X) : \quad \dots \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \mathbb{k} \rightarrow \dots$$

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Isomorphic categories:

$$\mathbf{Vect}^{(\mathbb{Z}, \leq)} \cong \mathbf{Mod}_{\mathbb{k}[t]}$$

# Interleaving

Filtrations  $f, g : X \rightarrow \mathbb{Z}$  with  $\|f - g\|_\infty \leq \varepsilon \implies$  their homologies are  $\varepsilon$ -interleaved  $\mathbb{k}[t]$ -modules.

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## Definition

$\mathbb{k}[t]$ -modules  $M$  and  $N$  are  $\varepsilon$ -interleaved if there is a pair of  $\varepsilon$ -morphisms  $f : M \xrightarrow{\varepsilon} N$  and  $g : N \xrightarrow{\varepsilon} M$  such that

$$g(f(m)) = t^{2\varepsilon} m \quad \text{and} \quad f(g(n)) = t^{2\varepsilon} n.$$

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This yields a **metric** between isomorphism classes of modules:

$$d_l(M, N) = \min\{\varepsilon \in \mathbb{N}_0 \mid M \overset{\varepsilon}{\sim} N\}.$$

# Mayer-Vietoris Spectral Sequence

$X$  simplicial complex,  $\mathcal{U} = (U_i)_{i \in \Lambda}$  cover  $\implies$  **double complex**

$$E_{p,q}^0 = \bigoplus_{|I|=p+1} C_q(U_I), \quad U_I = \bigcap_{i \in I} U_i$$

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and

$$\partial_{p,q}^1(\sigma, I) = \sum_{I=0}^p (-1)^I(\sigma, I).$$



# Mayer-Vietoris Spectral Sequence

$$\begin{array}{ccccccc}
 E_{0,3}^0 & \xleftarrow{\partial''} & E_{1,3}^0 & \xleftarrow{\partial''} & E_{2,3}^0 & \xleftarrow{\partial''} & E_{3,3}^0 \\
 \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow \\
 E_{0,2}^0 & \xleftarrow{\partial''} & E_{1,2}^0 & \xleftarrow{\partial''} & E_{2,2}^0 & \xleftarrow{\partial''} & E_{3,2}^0 \\
 \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow \\
 E_{0,1}^0 & \xleftarrow{\partial''} & E_{1,1}^0 & \xleftarrow{\partial''} & E_{2,1}^0 & \xleftarrow{\partial''} & E_{3,1}^0 \\
 \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow & & \partial' \downarrow \\
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 \end{array}$$

# Mayer-Vietoris Spectral Sequence

$$\begin{array}{cccc}
 E_{0,3}^0 & E_{1,3}^0 & E_{2,3}^0 & E_{3,3}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,2}^0 & E_{1,2}^0 & E_{2,2}^0 & E_{3,2}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,1}^0 & E_{1,1}^0 & E_{2,1}^0 & E_{3,1}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,0}^0 & E_{1,0}^0 & E_{2,0}^0 & E_{3,0}^0
 \end{array}$$

# Mayer-Vietoris Spectral Sequence

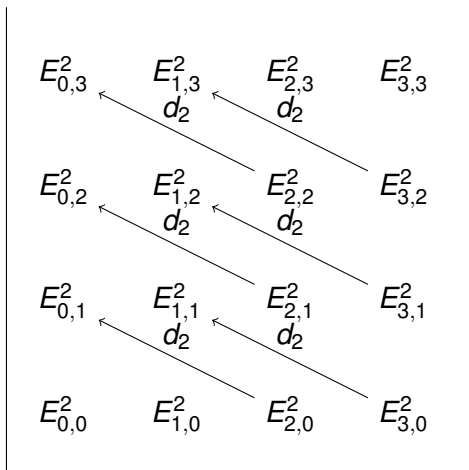
$$E_{0,3}^1 \xleftarrow{d_1} E_{1,3}^1 \xleftarrow{d_1} E_{2,3}^1 \xleftarrow{d_1} E_{3,3}^1$$

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# Mayer-Vietoris Spectral Sequence



# Persistent Homology Nerve Theorem

## Theorem (Chazal & Oudot, 2008)

*If  $\mathcal{U}$  is a cover by subcomplexes of a filtered simplicial complex  $X$  such that every nonempty intersection of finitely many sets in  $\mathcal{U}$  is persistently acyclic, then*

$$H_*(X) \cong H_*(\mathcal{N}(\mathcal{U})),$$

*where  $\mathcal{N}(\mathcal{U})$  is the nerve of  $\mathcal{U}$ .*

# Idea of Proof

Use the Mayer-Vietoris spectral sequence.

$$\begin{array}{cccc}
 E_{0,3}^0 & E_{1,3}^0 & E_{2,3}^0 & E_{3,3}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,2}^0 & E_{1,2}^0 & E_{2,2}^0 & E_{3,2}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,1}^0 & E_{1,1}^0 & E_{2,1}^0 & E_{3,1}^0 \\
 d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\
 E_{0,0}^0 & E_{1,0}^0 & E_{2,0}^0 & E_{3,0}^0
 \end{array}$$

# Idea of Proof

By acyclicity,  $E^1$  is concentrated in the bottom row.

$$\begin{array}{ccccccc}
 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 \\
 \\ 
 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 \\
 \\ 
 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 & \xleftarrow{d_1} & 0 \\
 \\ 
 E_{0,0}^1 & \xleftarrow{d_1} & E_{1,0}^1 & \xleftarrow{d_1} & E_{2,0}^1 & \xleftarrow{d_1} & E_{3,0}^1
 \end{array}$$

# Idea of Proof

$(E_{*,0}^1, d_{*,0}^1) \cong (C_*(\mathcal{N}), \partial)$  as chain complexes.

$$\begin{array}{ccccccc}
 C_0(\mathcal{N}) & \longleftarrow & C_1(\mathcal{N}) & \longleftarrow & C_2(\mathcal{N}) & \longleftarrow & C_3(\mathcal{N}) \\
 \cong \downarrow & & \cong \downarrow & & \cong \downarrow & & \cong \downarrow \\
 E_{0,0}^1 & \longleftarrow & E_{1,0}^1 & \longleftarrow & E_{2,0}^1 & \longleftarrow & E_{3,0}^1
 \end{array}$$



## Idea of Proof

$E_{*,0}^2 \cong H_*(\mathcal{N})$  as graded  $\mathbb{k}[t]$ -modules.

$$\begin{array}{cccc} H_0(\mathcal{N}) & H_1(\mathcal{N}) & H_2(\mathcal{N}) & H_3(\mathcal{N}) \\ \cong \downarrow & \cong \downarrow & \cong \downarrow & \cong \downarrow \\ E_{0,0}^2 & E_{1,0}^2 & E_{2,0}^2 & E_{3,0}^2 \end{array}$$

# Idea of Proof

We may conclude that  $H_*(\mathcal{N}) \cong E_{*,0}^2 \cong E_{*,0}^\infty \cong H_*(X)$ .  $\square$

# Approximate Nerve Theorem, Easy Version

Theorem (G & Škraba, 2016)

If  $\mathcal{U}$  is an  $\varepsilon$ -acyclic cover of  $X$  and  $D = \dim \mathcal{N}(\mathcal{U}) < \infty$ , we have

$$H_*(X) \stackrel{(4D+2)\varepsilon}{\sim} H_*(\mathcal{N}).$$

## Idea of Proof

Use Mayer-Vietoris and imitate previous proof.

$$\begin{array}{cccc} E_{0,3}^0 & E_{1,3}^0 & E_{2,3}^0 & E_{3,3}^0 \\ d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\ E_{0,2}^0 & E_{1,2}^0 & E_{2,2}^0 & E_{3,2}^0 \\ d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\ E_{0,1}^0 & E_{1,1}^0 & E_{2,1}^0 & E_{3,1}^0 \\ d_0 \downarrow & d_0 \downarrow & d_0 \downarrow & d_0 \downarrow \\ E_{0,0}^0 & E_{1,0}^0 & E_{2,0}^0 & E_{3,0}^0 \end{array}$$

## Idea of Proof

By  $\varepsilon$ -acyclicity,  $E_{p,q}^1 \xrightarrow{\varepsilon} 0$  holds above the bottom row.

$$2\varepsilon \xleftarrow{d_1} 2\varepsilon \xleftarrow{d_1} 2\varepsilon \xleftarrow{d_1} 2\varepsilon$$

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$$E_{0,0}^1 \xleftarrow{d_1} E_{1,0}^1 \xleftarrow{d_1} E_{2,0}^1 \xleftarrow{d_1} E_{3,0}^1$$

## Idea of Proof

$(E_{*,0}^1, d_{*,0}^1) \stackrel{2\varepsilon}{\sim} (C_*(\mathcal{N}), \partial)$  as chain complexes.

$$\begin{array}{ccccccc} C_0(\mathcal{N}) & \longleftarrow & C_1(\mathcal{N}) & \longleftarrow & C_2(\mathcal{N}) & \longleftarrow & C_3(\mathcal{N}) \\ & & \left\{ 2\varepsilon \right. & & \left\{ 2\varepsilon \right. & & \left\{ 2\varepsilon \right. \\ & & & & & & \left\{ 2\varepsilon \right. \\ E_{0,0}^1 & \longleftarrow & E_{1,0}^1 & \longleftarrow & E_{2,0}^1 & \longleftarrow & E_{3,0}^1 \end{array}$$

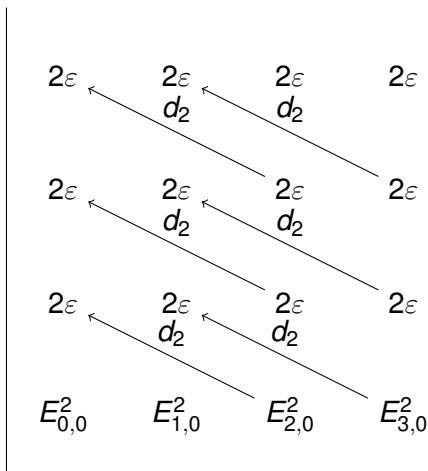
## Idea of Proof

$E_{*,0}^2 \overset{2\varepsilon}{\sim} H_*(\mathcal{N})$  as graded  $\mathbb{k}[t]$ -modules.

$$\begin{array}{cccc} H_0(\mathcal{N}) & H_1(\mathcal{N}) & H_2(\mathcal{N}) & H_3(\mathcal{N}) \\ \left. \vphantom{H_0(\mathcal{N})} \right\} 2\varepsilon & \left. \vphantom{H_1(\mathcal{N})} \right\} 2\varepsilon & \left. \vphantom{H_2(\mathcal{N})} \right\} 2\varepsilon & \left. \vphantom{H_3(\mathcal{N})} \right\} 2\varepsilon \\ E_{0,0}^2 & E_{1,0}^2 & E_{2,0}^2 & E_{3,0}^2 \end{array}$$

## Idea of Proof

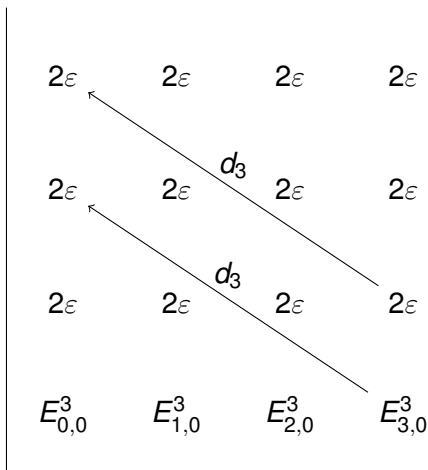
At each step, we have  $E^{r+1} \overset{2\varepsilon}{\approx} E^r$ .





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- After  $D$  steps, the spectral sequence collapses.

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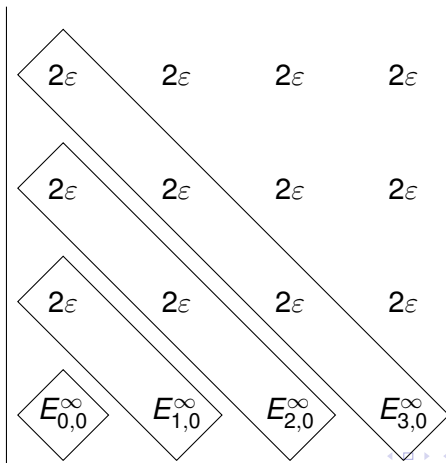
- After  $D$  steps, the spectral sequence collapses.
- We conclude  $H_*(\mathcal{N}) \overset{2\varepsilon}{\sim} E_{*,0}^2 \overset{2\varepsilon}{\sim} \dots \overset{2\varepsilon}{\sim} E_{*,0}^{D+1} = E_{*,0}^\infty$ .

# Idea of Proof

- After  $D$  steps, the spectral sequence collapses.
- We conclude  $H_*(\mathcal{N}) \overset{2\varepsilon}{\sim} E_{*,0}^{2\varepsilon} \overset{2\varepsilon}{\sim} \dots \overset{2\varepsilon}{\sim} E_{*,0}^{D+1} = E_{*,0}^\infty$ .
- Therefore,  $E_{*,0}^\infty \overset{2D\varepsilon}{\sim} H_*(\mathcal{N})$ .

# Idea of Proof

To obtain  $H_*(X)$  from  $E^\infty$ , solve a series of extension problems.



# Idea of Proof

For  $0 \leq n \leq D$ , we obtain:

$$H_n(X) \cong \frac{H_n(X)^n}{H_n(X)^{-1}} \stackrel{2\varepsilon}{\sim} \dots \stackrel{2\varepsilon}{\sim} \frac{H_n(X)^n}{H_n(X)^{n-2}} \stackrel{2\varepsilon}{\sim} \frac{H_n(X)^n}{H_n(X)^{n-1}} \cong E_{n,0}^\infty,$$

while  $n > D$  is treated separately by a similar argument.

# Idea of Proof

We finally conclude that

$$H_*(\mathcal{N}) \underset{\sim}{\approx}^{2D\varepsilon} E^\infty \underset{\sim}{\approx}^{2(D+1)\varepsilon} H_*(X),$$

as desired. □

# Approximate Nerve Theorem

## Theorem (G & Škraba, 2016)

Let  $D = \dim \mathcal{N}(\mathcal{U})$ ,  $\Delta = \dim X$  and  $Q = \min(D, \Delta)$ .  
If  $\mathcal{U}$  is an  $\varepsilon$ -acyclic cover of  $X$  and  $D < \infty$ , we have

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To prove this, we introduce **left- and right-interleavings**.

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To prove this, we introduce **left- and right-interleavings**.

This bound is sharp, as we show by explicit examples.

# Summary

- Given an approximately acyclic cover  $\mathcal{U}$  of a filtered simplicial complex  $X$ , the persistent homology of the nerve  $\mathcal{N}(\mathcal{U})$  is approximately isomorphic to the persistent homology of  $X$ .

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Thank you for your attention!